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Application Serial Number: Source: Date Processed by STIC:

**RAW SEQUENCE LISTING** 

**ERROR REPORT** 

lumber:	09/623,568A
	1600 ,
STIC:	8/28/2002

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS. PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER: 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE

APPLICANT, WITH A NOTICE TO COMPLY or,
2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION QUESTIONS, PLEASE CONTACT MARK SPENCER, 703-308-4212.

FOR SEQUENCE RULES INTERPRETATION, PLEASE CONTACT ROBERT WAX, 703-308-4216. PATENTIN 2.1 e-mail help: <u>patin21help@uspto.gov</u> or phone 703-306-4119 (R. Wax) PATENTIN 3.0 e-mail help: <u>patin3help@uspto.gov</u> or phone 703-306-4119 (R. Wax)

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE <u>CHECKER</u> <u>VERSION 3.1 PROGRAM</u>, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS: <u>http://www.uspto.gov/web/offices/pac/checker</u>

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail. Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom. Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

- 1. EFS-Bio (<<u>http://www.uspto.gov/ebc/efs/downloads/documents.htm</u>>, EFS Submission User Manual ePAVE)
- 2. U.S. Postal Service: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202
- 3. Hand Carry directly to:

U.S. Patent and Trademark Office, Technology Center 1600, Reception Area, 7<sup>th</sup> Floor, Examiner Name, Sequence Information, Crystal Mall One, 1911 South Clark Street, Arlington, VA 22202 Or

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4. Federal Express, United Parcel Service, or other delivery service to: U.S. Patent and Trademark Office, Box Sequence, Room 1B03-Mailroom, Crystal Plaza Two, 2011 South Clark Place, Arlington, VA 22202

Revised 01/29/2002

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Raw Sequence Listing Error Summary

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ERROR DETECTED	suggested correction serial number: $0.9/623$ , 568A
ATTN: NEW RULES CASE	S: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWAR
1Wrapped Nucleics Wrapped Aminos	The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to .3; this will prevent "wrapping."
2Invalid Line Length	The rules require that a line not exceed 72 characters in length. This includes white spaces.
3Misaligned Amino Numbering	The numbering under each 5 <sup>th</sup> amino acid is misaligned. Do not use tab codes between numbers; use space characters, instead.
4Non-ASCII	The submitted file was not saved in ASCII(DOS) text, as required by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text.
5Variable Length	Sequence(s)contain n's or Xaa's representing more than one residue. Per Sequence Rules, each n or Xaa can only represent a single residue. Please present the maximum number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.
6PatentIn 2.0 "bug"	A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s) Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.
7Skipped Sequences (OLD RULES)	Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence: (2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) (i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading) (xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) This sequence is intentionally skipped
	Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to include the skipped sequences.
8Skipped Sequences (NEW RULES) -	Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence. <210> sequence id number <400> sequence id number 000
Use of n's or Xaa's (NEW RULES)	Use of n's and/or Xaa's have been detected in the Sequence Listing. Per 1.823 of Sequence Rules, use of <220>-<223> is MANDATORY if n's or Xaa's are present. In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents.
0Invalid <213> Response	Per 1.823 of Sequence Rules, the only valid <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is required when <213> response is Unknown or is Artificial Sequence
1Use of <220>	Sequence(s) missing the <220> "Feature" and associated numeric identifiers and responses. Use of <220> to <223> is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section. (See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of Sequence Rules)
2PatentIn 2.0 "bug"	Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.
3Misuse of n	n can only be used to represent a single nucleotide in a nucleic acid sequence. N is not used to represent any value not specifically a nucleotide.
	AMC/MH – Biotechnology Systems Branch – 08/21/2001

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DATE: 08/28/2002 RAW SEQUENCE LISTING TIME: 10:39:01 PATENT APPLICATION: US/09/623,568A Input Set : A:\EP.txt Output Set: N:\CRF4\08282002\1623568A.raw Does Not Comply 3 <110> APPLICANT: Miller, Barbara Corrected Diskette Needed 4 Osmani, Stephen see pp 1-3, 5 Clawson, Gary 6 6 Zhang, Min-Ying 7 Norris, James 9 <120> TITLE OF INVENTION: Use of Human Homolog Of A Nuclear Migration Gene For Treatment And 10 Diagnosis Of Cancer 12 <130> FILE REFERENCE: PSU-0016 14 <140> CURRENT APPLICATION NUMBER: 09/623,568A 15 <141> CURRENT FILING DATE: 2001-03-23 17 <150> PRIOR APPLICATION NUMBER: 60/076,885 18 <151> PRIOR FILING DATE: 1998-03-05 20 <150> PRIOR APPLICATION NUMBER: PCT US99/04996 21 <151> PRIOR FILING DATE: 1999-03-05 23 <160> NUMBER OF SEQ ID NOS: 16 25 <170> SOFTWARE: PatentIn version 3.1 27 <210> SEQ ID NO: 1 28 <211> LENGTH: 14 29 <212> TYPE: PRT 30 <213> ORGANISM: artificial Sequence Asp Gln Arg Gln Lys 10 Some 32 <220> FEATURE: 33 <223> OTHER INFORMATION: Peptide 35 <400> SEQUENCE: 1 37 Gly Cys Met Val Glu Lys Met Met Tyr Asp Gln Arg Gln Lys 38 1 5 41 <210> SEQ ID NO: 2 42 <211> LENGTH: 15 43 <212> TYPE: PRT 44 <213> ORGANISM: artificial Sequence 46 <220> FEATURE: 47 <223> OTHER INFORMATION / Peptide 49 <400> SEQUENCE: 2 51 Asn Gly Ser Leu Asp Ser Pro Gly Lys Gln Asp Thr Glu Glu Asp 52 1 10 15 5 55 <210> SEQ ID NO: 3 56 <211> LENGTH: 24 57 <212> TYPE: DNA 58 <213> ORGANISM: artificial Sequence some enor as above 60 <220> FEATURE: 61 <223> OTHER INFORMATION: / Oligonucleotide 63 <400> SEQUENCE: 3 24 64 ttctgttcgt ctgaagttgg cagc 67 <210> SEQ ID NO: 4

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DATE: 08/28/2002 RAW SEQUENCE LISTING PATENT APPLICATION: US/09/623,568A TIME: 10:39:01 Input Set : A:\EP.txt Output Set: N:\CRF4\08282002\1623568A.raw 68 <211> LENGTH: 24 69 <212> TYPE: DNA 70 <213> ORGANISM: artificial Sequence 72 <220> FEATURE: 73 <223> OTHER INFORMATION / Oligonucleotide 75 <400> SEQUENCE: 4 76 caatgaagtg aaggtggagg agag 24 79 <210> SEQ ID NO: 5 80 <211> LENGTH: 38 81 <212> TYPE: DNA 82 <213> ORGANISM: artificial Sequence 84 <220> FEATURE: 85 <223> OTHER INFORMATION: (Oligonucleotide 87 <400> SEQUENCE: 5 38 88 aaggtaccaa gatggactcc ccagggaagc aggatact 91 <210> SEQ ID NO: 6 92 <211> LENGTH: 32 93 <212> TYPE: DNA 94 <213> ORGANISM: artificial Sequence 96 <220> FEATURE: 97 <223> OTHER INFORMATION: (Oligonucleotide 99 <400> SEQUENCE: 6 100 aaggatccaa gaaagttggg tggttgcagc tc 32 103 <210> SEQ ID NO: 7 104 <211> LENGTH: 20 105 <212> TYPE: DNA 106 <213> ORGANISM: artificial Sequence 108 <220> FEATURE: 109 <223> OTHER INFORMATION: Oligonucleotide 111 <400> SEQUENCE: 7 112 gaaagtcgga ggttcgaaga 20 115 <210> SEQ ID NO: 8 116 <211> LENGTH: 20 117 <212> TYPE: DNA 118 <213> ORGANISM: artificial Sequence 120 <220> FEATURE: 121 <223> OTHER INFORMATION: (Oligonucleotide 123 <400> SEQUENCE: 8 124 accaactaag aacggccatg 20 127 <210> SEQ ID NO: 9 128 <211> LENGTH: 24 129 <212> TYPE: DNA 130 <213> ORGANISM: artificial Seguence 132 <220> FEATURE: 133 <223> OTHER INFORMATION: Oligonucleotide 135 <400> SEQUENCE: 9 24 136 agcaacatgc cgtcgaaccg ctcc 139 <210> SEQ ID NO: 10 140 <211> LENGTH: 24

DATE: 08/28/2002 RAW SEQUENCE LISTING TIME: 10:39:01 PATENT APPLICATION: US/09/623,568A Input Set : A:\EP.txt Output Set: N:\CRF4\08282002\1623568A.raw 141 <212> TYPE: DNA 142 <213> ORGANISM: artificial Sequence 144 <220> FEATURE: 145 <223> OTHER INFORMATION: Oligonucleotide 147 <400> SEQUENCE: 10 24 148 ggagcggttc gacggcatgt tgct 151 <210> SEQ ID NO: 11 152 <211> LENGTH: 1281 153 <212> TYPE: DNA 154 <213> ORGANISM: Homo sapiens 156 <400> SEQUENCE: 11 60 157 ctagagtgca gagctccggg acgtggatcg gagccggcgc gatgggcgga gagcaggagg 120 159 aggagcggtt cgacggcatg ttgctggcca tggctcagca gcacgagggc ggcgtgcagg 161 agettgtgaa cacettette agetteette gaegeaaaac agaettttte attggaggag 180 163 aagaagggat ggcagagaag cttatcacac agactttcag ccaccacaat cagctggcac 240 300 165 aqaagacccg gcgggagaag agagcccggc aggaggccga gcggcgggag aaggcggagc 167 gggcggccag actggccaag gaagccaagt cagagacctc agggccccag atcaaggagc 360 169 taactgatga agaggcagag aggctgcagc tagagattga ccagaaaaag gatgcagaga 420 171 atcatgaggc ccagctcaag aacggcagcc ttgactcccc agggaagcag gatactgagg 480 173 aagatgagga ggaagatgag aaggacaaag gaaaactgaa gcccaaccta ggcaacgggg 540 600 175 cagacetgee caattacege tggacecaga ceetgtegga getggacetg geggteeett 177 tctgtgtgaa cttccggctg aaagggaagg acatggtggt ggacatccag cggcggcacc 660 720 179 tccgggtggg gctcaagggg cagccagcga tcattgatgg ggagctctac aatgaagtga 181 aggtggagga gagctcgtgg ctcattgagg acggcaaggt ggtgactgtg catctggaga 780 183 agatcaataa gatggagtgg tggagccgct tggtgtccag tgaccctgag atcaacacca 840 900 185 agaagattaa ccctgagaat tccaagctgt cagacctgga cagtgagact cgcagcatgg 960 187 tggaaaagat gatgtatgac cagcgacaga agtccatggg gctgccaact tcagacgaac 1020 189 agaagaaaca ggagattctg aagaagttca tggatcaaca tccggagatg gatttttcca 191 aggetaaatt caactageee etgtttttte eteeetgaae tettgggget gagetgeaae 1080 193 cacccaactt tettteccae tettetetgg gaettgtggg ceteaggget tggggeagge 1140 195 atgggactgg cccaggcaca caggtcccgg ggcatcagga gaaaggctgg gtcttgggac 1200 197 cttgtcctcc ccagttggcc tactgttaca cattaaaacg atttgcccag ctcaaaaaaa 1260 1281 199 aaaaaaaaaa aaaaaaaaaa a 202 <210> SEO ID NO: 12 203 <211> LENGTH: 331 204 <212> TYPE: PRT 205 <213> ORGANISM: Homo sapiens 207 <400> SEQUENCE: 12 209 Met Gly Gly Glu Gln Glu Glu Glu Arg Phe Asp Gly Met Leu Leu Ala 210 1 10 5 15 213 Met Ala Gln Gln His Glu Gly Gly Val Gln Glu Leu Val Asn Thr Phe 214 25 30 20 217 Phe Ser Phe Leu Arg Arg Lys Thr Asp Phe Phe Ile Gly Gly Glu Glu 218 35 40 45 221 Gly Met Ala Glu Lys Leu Ile Thr Gln Thr Phe Ser His His Asn Gln 222 50 55 60 225 Leu Ala Gln Lys Thr Arg Arg Glu Lys Arg Ala Arg Gln Glu Ala Glu 70 75 226 65 80 229 Arg Arg Glu Lys Ala Glu Arg Ala Ala Arg Leu Ala Lys Glu Ala Lys

8/28/02

RAW SEQUENCE LISTING PATENT APPLICATION: US/09/623,568A DATE: 08/28/2002 TIME: 10:39:01

## Input Set : A:\EP.txt Output Set: N:\CRF4\08282002\I623568A.raw

233 Ser Glu Thr Ser Gly Pro Gln Ile Lys Glu Leu Thr Asp Glu Glu Ala 237 Glu Arg Leu Gln Leu Glu Ile Asp Gln Lys Lys Asp Ala Glu Asn His 238 115 241 Glu Ala Gln Leu Lys Asn Gly Ser Leu Asp Ser Pro Gly Lys Gln Asp 245 Thr Glu Glu Asp Glu Glu Glu Asp Glu Lys Asp Lys Gly Lys Leu Lys 246 145 249 Pro Asn Leu Gly Asn Gly Ala Asp Leu Pro Asn Tyr Arg Trp Thr Gln 253 Thr Leu Ser Glu Leu Asp Leu Ala Val Pro Phe Cys Val Asn Phe Arg 257 Leu Lys Gly Lys Asp Met Val Val Asp Ile Gln Arg Arg His Leu Arg 261 Val Gly Leu Lys Gly Gln Pro Ala Ile Ile Asp Gly Glu Leu Tyr Asn 265 Glu Val Lys Val Glu Glu Ser Ser Trp Leu Ile Glu Asp Gly Lys Val 266 225 269 Val Thr Val His Leu Glu Lys Ile Asn Lys Met Glu Trp Trp Ser Arg 273 Leu Val Ser Ser Asp Pro Glu Ile Asn Thr Lys Lys Ile Asn Pro Glu 277 Asn Ser Lys Leu Ser Asp Leu Asp Ser Glu Thr Arg Ser Met Val Glu 278 275 281 Lys Met Met Tyr Asp Gln Arg Gln Lys Ser Met Gly Leu Pro Thr Ser 282 290 285 Asp Glu Gln Lys Lys Gln Glu Ile Leu Lys Lys Phe Met Asp Gln His 286 305 310 289 Pro Glu Met Asp Phe Ser Lys Ala Lys Phe Asn 293 <210> SEQ ID NO: 13 294 <211> LENGTH: 332 295 <212> TYPE: PRT 296 <213> ORGANISM: Rattus rattus 298 <400> SEQUENCE: 13 300 Met Gly Gly Glu Gln Glu Glu Glu Arg Phe Asp Gly Met Leu Leu Ala 301 1 304 Met Ala Gln Gln His Glu Gly Gly Val Gln Glu Leu Val Asn Thr Phe 308 Phe Ser Phe Leu Arg Arg Lys Thr Asp Phe Phe Ile Gly Gly Glu Glu 312 Gly Met Ala Glu Lys Leu Ile Thr Gln Thr Phe Asn His His Asn Gln 316 Leu Ala Gln Lys Ala Arg Arg Glu Lys Arg Ala Arg Gln Glu Thr Glu 317 65 320 Arg Arg Glu Lys Ala Glu Arg Ala Ala Arg Leu Ala Lys Glu Ala Lys 324 Ala Glu Thr Pro Gly Pro Gln Ile Lys Glu Leu Thr Asp Glu Glu Ala

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RAW SEQUENCE LISTING PATENT APPLICATION: US/09/623,568A TIME: 10:39:01

DATE: 08/28/2002

Input Set : A:\EP.txt Output Set: N:\CRF4\08282002\1623568A.raw

328 Glu Arg Leu Gln Leu Glu Ile Asp Gln Lys Lys Asp Ala Glu Asn His 329 115 332 Glu Val Gln Leu Lys Asn Gly Ser Leu Asp Ser Pro Gly Lys Gln Asp 336 Ala Glu Glu Glu Glu Asp Glu Glu Asp Glu Lys Asp Lys Gly Lys Leu 337 145 340 Lys Pro Asn Leu Gly Asn Gly Ala Asp Leu Pro Asn Tyr Arg Trp Thr 344 Gln Thr Leu Ser Glu Leu Asp Leu Ala Val Pro Phe Arg Val Ser Phe 348 Arg Leu Lys Gly Lys Asp Val Val Val Asp Ile Gln Arg Arg His Leu 352 Arg Val Gly Leu Lys Gly Gln Ala Pro Val Ile Asp Gly Glu Leu Tyr 356 Asn Glu Val Lys Val Glu Glu Ser Ser Trp Leu Ile Glu Asp Gly Lys 357 225 360 Val Val Thr Val His Leu Glu Lys Ile Asn Lys Met Glu Trp Trp Asn 364 Arg Leu Val Thr Ser Asp Pro Glu Ile Asn Thr Lys Lys Ile Asn Pro 368 Glu Asn Ser Lys Leu Ser Asp Leu Asp Ser Glu Thr Arg Ser Met Val 372 Glu Lys Met Met Tyr Asp Gln Arg Gln Lys Ser Met Gly Leu Pro Thr 376 Ser Asp Glu Gln Lys Lys Gln Glu Ile Leu Lys Lys Phe Met Asp Gln 377 305 380 His Pro Glu Met Asp Phe Ser Lys Ala Lys Phe Asn 384 <210> SEQ ID NO: 14 385 <211> LENGTH: 198 386 <212> TYPE: PRT 387 <213> ORGANISM: Aspergillus nidulans 389 <400> SEQUENCE: 14 391 Met Ser Glu Gln Glu Pro Ser Ser Ala Asp Leu Ala Ala Arg Glu Ala 392 1 395 Glu Glu Lys Gln Arg Lys Ala Ala Glu Glu Ala Glu Gln Ala Thr Leu 399 Pro Tyr Lys Trp Thr Gln Thr Ile Arg Asp Val Asp Val Thr Ile Pro 400 35 403 Val Ser Ala Asn Leu Lys Gly Arg Asp Leu Asp Val Val Leu Lys Lys 407 Asp Ser Ile Lys Val Lys Val Lys Gly Glu Asn Gly Glu Val Phe Ile 408 65 411 Asp Gly Gln Phe Pro His Pro Ile Lys Pro Ser Glu Ser Ser Trp Thr 415 Leu Glu Thr Thr Ser Lys Pro Pro Gly Lys Glu Val Ser Ile His Leu 419 Asp Lys Val Asn Gln Met Glu Trp Trp Ala His Val Val Thr Thr Ala

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RAW SEQUENCE LISTING ERROR SUMMARYDATE: 08/28/2002PATENT APPLICATION:US/09/623,568ATIME: 10:39:02

Input Set : A:\EP.txt
Output Set: N:\CRF4\08282002\1623568A.raw

Please Note:

Use of n and/or Xaa have been detected in the Sequence Listing. Please review the Sequence Listing to ensure that a corresponding explanation is presented in the <220> to <223> fields of each sequence which presents at least one n or Xaa.

Seq#:16; Xaa Pos. 9,11

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VERIFICATION SUMMARYDATE: 08/28/2002PATENT APPLICATION:US/09/623,568ATIME: 10:39:02

Input Set : A:\EP.txt
Output Set: N:\CRF4\08282002\I623568A.raw

L:496 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:16 after pos.:0